



# HAMILTON ETFs

FINANCIAL SECTOR SPECIALISTS

## Hamilton U.S. Mid/Small-Cap Financials ETF (HUM, HUM.U:TSX)

### Summary of Investment Portfolio

As at March 31, 2021

<b>Asset Mix</b>		<b>Net Asset Value</b>	<b>% of ETF's Net Asset Value</b>
U.S. Equities	\$	45,337,253	94.02%
Global Equities		3,181,073	6.60%
Currency Forward Hedge*		(513,777)	-1.07%
Cash and Cash Equivalents		338,960	0.70%
Other Assets less Liabilities		(121,439)	-0.25%
	\$	<b>48,222,070</b>	<b>100.00%</b>

<b>Sector Mix</b>		<b>Net Asset Value</b>	<b>% of ETF's Net Asset Value</b>
Financials	\$	47,831,452	99.19%
Information Technology		686,874	1.43%
Currency Forward Hedge*		(513,777)	-1.07%
Cash and Cash Equivalents		338,960	0.70%
Other Assets less Liabilities		(121,439)	-0.25%
	\$	<b>48,222,070</b>	<b>100.00%</b>

\* Positions in forward contracts are disclosed as the gain/(loss) that would be realized if the contracts were closed out on the date of this report.



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## Hamilton U.S. Mid/Small-Cap Financials ETF (HUM, HUM.U:TSX)

### Summary of Investment Portfolio (continued)

As at March 31, 2021

<b>Top 25 Holdings</b>	<b>% of ETF's Net Asset Value</b>
Western Alliance Bancorp	3.27%
Raymond James Financial Inc.	3.16%
Pinnacle Financial Partners Inc.	3.09%
First Horizon National Corp.	3.01%
LPL Financial Holdings Inc.	2.99%
Arch Capital Group Ltd.	2.93%
Ares Management Corp.	2.88%
Nasdaq Inc.	2.85%
Ally Financial Inc.	2.83%
Signature Bank	2.72%
Cadence Bancorp.	2.64%
Synovus Financial Corp.	2.44%
Lazard Ltd.	2.27%
Prosperity Bancshares Inc.	2.26%
Voya Financial Inc.	2.24%
WSFS Financial Corp.	2.21%
Arthur J. Gallagher & Co.	2.17%
Carlyle Group Inc. (The)	2.08%
SVB Financial Group	2.07%
Zions Bancorporation NA	1.99%
Essent Group Ltd.	1.97%
Home Bancshares Inc.	1.85%
MGIC Investment Corp.	1.75%
First American Financial Corp.	1.73%
Ameris Bancorp	1.71%

The summary of investment portfolio may change due to the ongoing portfolio transactions of the ETF. The most recent financial statements are available at no cost by calling (416) 941-9888, by writing to us at 55 York Street, Suite 1202, Toronto, Ontario, M5J 1R7, by visiting our website at [www.hamiltonetfs.com](http://www.hamiltonetfs.com) or through SEDAR at [www.sedar.com](http://www.sedar.com).